

PATENT/Docket No......:

DECLARATION (37 CFR 1.63) AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, and

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **NOVEL COMPOUNDS WITH ANALGESIC EFFECT**, the specification of which

☐ is attached hereto.

☐ was filed on as Application No. and was amended on .

☒ was filed on 9 December 1997 as PCT International Application No. PCT/SE97/02050 and was amended under PCT Article 19 on , if applicable.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 CFR 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119, of any foreign application(s) for patent or inventor's certificate or of any PCT International Application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT International Application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application on which priority is claimed:

<u>Application Serial No.</u>	<u>Country</u>	<u>Filing Date (Day/Month/Year)</u>	<u>Priority Claimed (Yes/No)</u>
9604785-7	Sweden	20 December 1996	Yes
9702535-7	Sweden	1 July 1997	Yes

I hereby claim the benefit under Title 35, United States Code, Section 120, of any United States application(s) or PCT International Application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56, which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application
Serial No.

Filing Date

Status (Patented,
Pending, Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint Robert A. Armitage (Registration No. 27,417), Rodney M. Anderson (Registration No. 31,939), Steven R. Borgman (Registration No. 33,160), Valerie S. Boutwell (Registration No. 36,754), W. Scott Brown (Registration No. 32,968), Leslie J. Clark (Registration No. 34,800), Laura A. Crowe (Registration No. 35,850), G. Harvey Dunn, III (Registration No. 31,102), Barry E. Engel (Registration No. P-37,127), A.H. Evans (Registration No. 22,032), Kevin M. Hart (Registration No. 36,823), William L. LaFuze (Registration No. 27,205), Stephen L. Levine (Registration No. 33,413), Alan W. Lintel (Registration No. 32,478), J. Clark Martin (Registration No. 26,198), Peter E. Mims (Registration No. 32,429), W. Ronald Robins (Registration No. 26,222), Michael A. Sanzo (Registration No. 36,912), Jerry R. Selinger (Registration No. 26,582), Jack R. Springgate (Registration No. 17,385), Alan R. Thiele (Registration No. 30,694), Darrell E. Warner (Registration No. 36,046), and Karen Tucker White (Registration No. 34,267), all registered to practice before the Patent and Trademark Office as my attorneys with full power of substitution and revocation to prosecute this application and all divisions and continuations thereof and to transact all business in the Patent and Trademark Office connected therewith and request that all correspondence and telephone communications be directed to the following person(s) at the mailing address and telephone number hereafter given:

Name	:	Robert A. Armitage
Registration No.	:	27,417
Address	:	Vinson & Elkins L.L.P.; Suite 800 1455 Pennsylvania Avenue, N.W. Washington, D.C. 20004-1008
Telephone No.	:	(202) 639-6692

Inventor's Signature



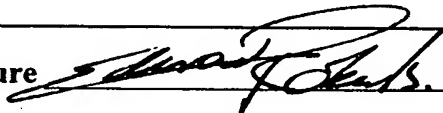
Date Jan. 22, 1998

Full name of sole or first inventor: Daniel Delorme

Residence: St. Lazare, Quebec, Canada **Citizenship:** Canadian

Post Office Address: 793, Charbonneau, St. Lazare, Quebec J7T 2B2, Canada

Inventor's Signature



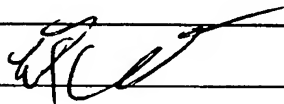
Date 23rd Jan. 98

Full name of second joint inventor: Edward Roberts

Residence: St. Lazare de Vaudreuil, Quebec, Canada **Citizenship:** British

Post Office Address: 2541 Chestnut Circle, St. Lazare de Vaudreuil, Quebec J7T 2A1, Canada

Inventor's Signature



Date Jan. 23, 98

Full name of third joint inventor: Zhongyong Wei

Residence: Pierrefonds, Quebec, Canada **Citizenship:** Canadian

Post Office Address: 17750 Antoine-Faucon, Pierrefonds, Quebec H9J 3N2, Canada



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

AUGUST 25, 2000

PTAS

PILLSBURY MADISON & SUTRO
MICHAEL A. SANZO
EAST TOWER - NINTH FLOOR
1100 NEW YORK AVENUE, NW
WASHINGTON, DC 20005-3918



101432455A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/14/2000

REEL/FRAME: 010896/0273
NUMBER OF PAGES: 4

BRIEF: CORRECTIVE ASSIGNMENT TO CORRECT THE NAME OF THE ASSIGNOR AND ASSIGNEE'S ADDRESS, FILED ON 3-5-98 RECORDED ON REEL 10190 FRAME 0224. ASSIGNOR HEREBY CONFIRMS THE ASSIGNMENT OF THE ENTIRE INTEREST.

ASSIGNOR:
DELORME, DANIEL

DOC DATE: 01/22/1998

ASSIGNOR:
ROBERTS, EDWARD

DOC DATE: 01/23/1998

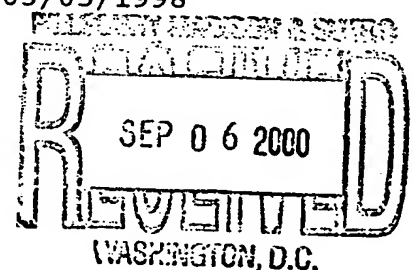
ASSIGNOR:
WEI, ZHONGYONG

DOC DATE: 01/23/1998

ASSIGNEE:
ASTRA PHARMA INC.
1004 MIDDLEGATE ROAD
MISSISSAUGA, ONTARIO L4Y 1M4,
CANADA

SERIAL NUMBER: 09029633
PATENT NUMBER:

FILING DATE: 03/05/1998
ISSUE DATE:



010896/0273 PAGE 2

PAULA MCCRAY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

08-25-2000

FORM PTO-1594 (Rev. 6-93)

OBM No. 0651-0011 (exp. 4/94)

RE4



U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

101432455

To the Honorable Commissioner of Patents.

PLEASE RECORD the attached original documents or copy thereof.

1. Name of conveying party(ies):

Daniel Delorme, Edward Roberts, Zhongyong Wei

Additional name(s) of conveying party(ies) attached? ☐ Yes☒ No

7-14-2000



2. Name and address of receiving party(ies):

Name: Astra Pharma Inc.

Internal Address:

Street Address: 1004 Middlegate Road

City/State/ZIP: Mississauga, Ontario L4Y 1M4

CANADA

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: January 22 and 23, 1998, respectively

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/029,633

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael A. Sanzo

Internal Address:

Street Address: Pillsbury Madison & Sutro
East Tower - Ninth Floor
1100 New York Avenue, N.W.

City/State/ZIP: Washington, D.C. 20005-3918

6. Total number of applications and patents involved:

1

7. Total Fee (37 C.F.R. § 3.41) \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael A. Sanzo

Name of Person Signing

Michael A. Sanzo

Signature

July 14, 2000

Date

Total number of pages including cover sheet, attachments, and document:

5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

FORM6-03.WP5

ASSIGNMENT TO ASTRA PHARMA INC.

Title: NOVEL COMPOUNDS WITH ANALGESIC EFFECT
Inventors: Daniel Delorme, Edward Roberts and Zhonyong Wei
PCT Appl. No: PCT/SE97/02050
PCT Filing Date: 9 December 1997
Execution Date of Application:

As a below-named inventor, I hereby declare that:

My post office address is as stated below under my signature and I am named as inventor of the inventions or discoveries (herein INVENTIONS) as described in the patent application (herein APPLICATION) identified above. In view of valuable consideration, receipt thereof is hereby acknowledged, I do hereby assign and transfer unto ASTRA PHARMA INC., a corporation of Canada having a place of business at 1004 Middlegate Road, Mississauga, Ontario L4Y 1M4, Canada its successors and assigns, my entire interest in and the full and exclusive right to the INVENTIONS, the APPLICATION and all related applications (including all divisions, reissues, continuations, and extensions thereof) and all counterparts in other countries, and any and all Letters Patent (and certificates of invention or similar certificates) (herein PATENTS) which may be granted based upon the INVENTIONS or the APPLICATION or related applications or counterparts in other countries; said transfer and assignment being applicable throughout the world. I hereby authorize and request officials of patent offices in any and all countries of the world to issue any and all of the PATENTS, when granted, to ASTRA PHARMA INC., its successors and assigns, as the assignee of my entire right, title, and interest in and to the same. I agree that I will communicate to ASTRA PHARMA INC., or its representatives, any facts known to me respecting the invention; testify in any legal proceedings; sign all lawful papers; execute all divisional, continuation, substitution, renewal, and reissue applications; execute all necessary assignment papers to cause any and all of the PATENTS to be issued to ASTRA PHARMA INC.; make all rightful oaths; and generally do everything possible to aid ASTRA PHARMA INC., its successors and assigns, to obtain and enforce proper protection for the INVENTION in any and all countries throughout the world.

FULL NAME OF FIRST/SOLE INVENTOR: Daniel Delorme

Signature of Inventor: 

Address: 793, Charbonneau, St. Lazare Quebec J7T 2B2, Canada

Date: Jan. 22, 1998

FULL NAME OF SECOND/JOINT INVENTOR: Edward Roberts

Signature of Inventor: Edward Roberts

Address: 2541 Chestnut Circle, St. Lazare de Vaudreuil, Quebec J7T 2A1, Canada

Date: 23rd January 1998

FULL NAME OF THIRD/JOINT INVENTOR: Zhongyong Wei

Signature of Inventor: Zhongyong Wei

Address: 17750 Antoine-Faucon, Pierrefonds, Quebec H9J 3N2, Canada

Date: Jan 23, 1998



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JANUARY 09, 2001

PTAS

PILLSBURY MADISON & SUTRO
MICHAEL A. SANZO
EAST TOWER-NINTH FLOOR
1100 NEW YORK AVENUE, N.W.
WASHINGTON, DC 20005-3918



101513462A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/12/2000

REEL/FRAME: 011217/0591 -95
NUMBER OF PAGES: 5

BRIEF: MERGER (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ASTRA PHARMA INC.

DOC DATE: 12/22/1999

ASSIGNEE:

ASTRAZENECA CANADA INC.
1004 MIDDLEGATE ROAD
MISSISSAUGA, ONTARIO, CANADA

L4Y 1M4

SERIAL NUMBER: 09254227
PATENT NUMBER:

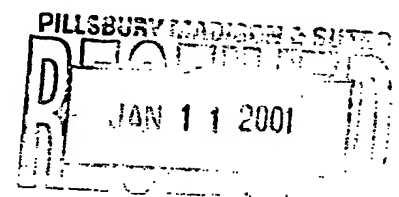
FILING DATE: 03/03/1999
ISSUE DATE:

SERIAL NUMBER: 09341016
PATENT NUMBER:

FILING DATE: 07/01/1999
ISSUE DATE:

SERIAL NUMBER: 09554326
PATENT NUMBER:

FILING DATE: 05/12/2000
ISSUE DATE:



011217/0591 PAGE 2

SERIAL NUMBER: 09029633
PATENT NUMBER:

FILING DATE: 03/05/1998
ISSUE DATE:

SERIAL NUMBER: 09555576
PATENT NUMBER:

FILING DATE:
ISSUE DATE:

SERIAL NUMBER: 09555579
PATENT NUMBER:

FILING DATE:
ISSUE DATE:

SERIAL NUMBER: 09555580
PATENT NUMBER:

FILING DATE:
ISSUE DATE:

SERIAL NUMBER: 09555575
PATENT NUMBER:

FILING DATE: 06/01/2000
ISSUE DATE:

SERIAL NUMBER: 09029468
PATENT NUMBER: 6153626

FILING DATE: 03/03/1998
ISSUE DATE: 11/28/2000

SERIAL NUMBER: 08836830
PATENT NUMBER: 6130222

FILING DATE: 04/24/1997
ISSUE DATE: 10/10/2000

SERIAL NUMBER: 09460722
PATENT NUMBER:

FILING DATE: 12/14/1999
ISSUE DATE:

SERIAL NUMBER: 09460723
PATENT NUMBER:

FILING DATE: 12/14/1999
ISSUE DATE:

SERIAL NUMBER: 08981700
PATENT NUMBER:

FILING DATE: 01/07/1998
ISSUE DATE:

ANTIONE ROYALL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

11-13-2000

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



101513462

To the Honorable Commissioner of Patents and Trademarks, I have received the attached original documents or copy thereof.

1. Name of conveying party(ies):

Astra Pharma Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: AstraZeneca Canada Inc.

Internal Address:

Street Address: 1004 Middlegate Road

City/State/ZIP: Mississauga, Ontario L4Y 1M4

CANADA

3. Nature of conveyance:

- ☐ Assignment ☒ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: December 22, 1999

Additional name(s) & address(es)

attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

SEE LIST ATTACHED

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael A. Sanzo

Internal Address:

Street Address: Pillsbury Madison & Sutro
East Tower - Ninth Floor
1100 New York Avenue, N.W.

City/State/ZIP: Washington, D.C. 20005-3918

6. Total number of applications and patents involved:

13

7. Total Fee (37 C.F.R. § 3.41) \$ 520.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

11/18/2000 MTHA11 00000020 09254227

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01 FC:581 520.00 OP

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael A. Sanzo
Name of Person Signing

Michael A. Sanzo
Signature

October 12, 2000
Date

Total number of pages including cover sheet, attachments, and document:

6

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

FORM6-03.WP5

**List of Applications on Which the Name of the Assignee Should Be
Changed from Astra Pharma Inc. to AstraZeneca Canada Inc.**

09/254,227

09/341,016

09/554,326

09/029,633

09/555,576

09/555,579

09/555,580

09/555,575

09/029,468

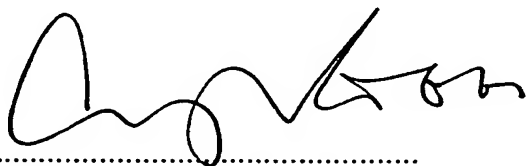
08/836,830

09/460,722

09/460,723

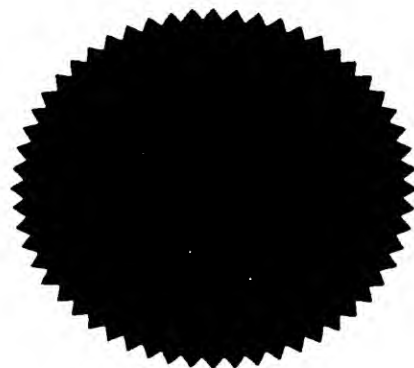
08/981,700

I **ANTHONY JANION GIBBS** of Wilmslow, Cheshire, England, Notary Public duly authorised admitted and sworn and practising within the United Kingdom of Great Britain and Northern Ireland **do hereby certify** that the photostat matter hereto annexed is a true copy of a document the original of which was produced to me at Macclesfield, Cheshire, England, this 22nd day of June in the year two thousand.



.....
Anthony Janion Gibbs

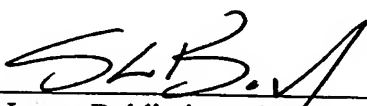
Notary Public



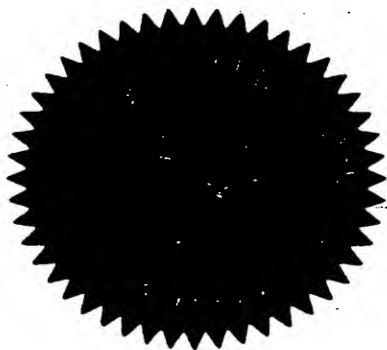
CANADA)
)
PROVINCE OF ONTARIO)
)
CITY OF TORONTO)
)
TO WIT:)

I, STEPHEN DOUGLAS BODLEY, a Notary Public in and for the Province of Ontario, by Royal Authority duly appointed, residing in the City of Toronto in the said Province DO HEREBY CERTIFY that the paper writing hereto annexed, the first page of which is stamped with an impression of my seal, is a true and correct photostatic copy of documents produced and shown to me out of custody of Blake, Cassels & Graydon LLP, Toronto, Ontario and purporting to be the original Certificate and Articles of Amendment of **ASTRAZENECA CANADA INC.** issued by the Ministry of Consumer and Commercial Relations and dated December 31, 1999, the said photostatic copy having been compared by me, page for page, with the said original documents, an act whereof being requested I have granted the same under my hand and notarial seal of office to serve and avail as occasion shall or may require.


DATED at Toronto this *13th* day of *March*, 2000.



A Notary Public in and for
the Province of Ontario





 Ministry of
Consumer and
Commercial Relations

CERTIFICATE

This is to certify that those articles are effective on

DECEMBER 31 DÉCEMBRE, 1999

Ministère de
la Consommation
et du Commerce

CERTIFICAT

Ceci certifie que les présents
statuts entrent en vigueur le

DÉCEMBRE, 1999

Ontario Corporation Number
méro de la société en Ontario

77196

1.

Ant D. 111

Director / Directeur
Business Corporations Act / Loi sur les sociétés par actions

ARTICLES OF AMENDMENT
STATUTS DE MODIFICATION

**Form 3
Business
Corporations
Act**

Formule 3
Loi sur les
sociétés par
actions

- 1. The name of the corporation is:**

Dénomination sociale de la société:

[illegible]

2. The name of the corporation is changed to (if applicable): *Nouvelle dénomination sociale de la société (s'il y a lieu):*

[illegible]

- 3. Date of incorporation/amalgamation:**

Date de la constitution ou de la fusion:

1954 JUNE 28

(Year, Month, Day)
(année, mois, jour)

4. The articles of the corporation are amended as follows: *Les statuts de la société sont modifiés de la façon suivante.*

To change the name of the Corporation to:

ASTRAZENECA CANADA INC.

5. The amendment has been duly authorized as required by Sections 168 & 170 (as applicable) of the Business Corporations Act.

La modification a été dûment autorisée conformément aux articles 168 et 170 (selon le cas) de la Loi sur les sociétés par actions.

6. The resolution authorizing the amendment was approved by the shareholders/directors (as applicable) of the corporation on

Les actionnaires ou les administrateurs (selon le cas) de la société ont approuvé la résolution autorisant la modification le

1999 DECEMBER 22

(Year, Month, Day)
(année, mois, jour)

These articles are signed in duplicate.

Les présents statuts sont signés en double exemplaire.

ASTRA PHARMA INC.

(Name of Corporation)
(Dénomination sociale de la société)

By: / Par:

[Signature]

(Signature)
(Signature)

President

(Description of Office)
(Fonction)